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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/339,869	06/25/1999	JUN KOIDE	35.C13613	3159
5514	7590	08/11/2004	EXAMINER	
FITZPATRICK CELLA HARPER & SCINTO 30 ROCKEFELLER PLAZA NEW YORK, NY 10112			TUGBANG, ANTHONY D	
			ART UNIT	PAPER NUMBER
			3729	

DATE MAILED: 08/11/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

09/339,869

**Applicant(s)**

KOIDE ET AL.

**Examiner**

A. Dexter Tugbang

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 10 May 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-3,5-15 and 32 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-3,5-15 and 32 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## **DETAILED ACTION**

### ***Response to Amendment***

1. The applicant(s) amendment filed on 5/10/04 has been fully considered and made of record.
2. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

### ***Claim Rejections - 35 USC § 112***

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:  

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter, which the applicant regards as his invention.
4. Claim 32 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In Claim 32, the recitation of “wherein, after the formation of the discharge port, the discharge port has a shape that widens in a direction away from a source of the beams” (last 2 lines of the claim) is confusing and misleading rendering the scope of the claim as being vague and indefinite. With particular emphasis on the phrase of “after the formation of the discharge port”, this phrase implies that the discharge port is finished or now completed without any energy beams being irradiated on it. If this is so, how can a relationship even exists between the “shape” of the discharge port and a source of the beams, if there are no beams being irradiated after the discharge port is already formed?

***Claim Rejections - 35 USC § 102***

5. Claims 1-3, 6-10, 15 and 32 are rejected under 35 U.S.C. 102(b) as being anticipated Nishiwaki et al 5,263,250.

Nishiwaki discloses a method of processing an ink discharge port for manufacturing an ink jet head comprising: closely contacting a mask plate 8 (see col. 5, lines 55-57) having openings corresponding to discharge ports on a discharge port plate 12 with a face of the discharge port plate on an ink discharge side (see col. 4, lines 57+); and forming the discharge port on the discharge port plate by irradiating a high energy ultraviolet excimer laser simultaneously through the mask plate so that the laser is inclined with respect to a vertical axis that is perpendicular to the mask plate (see Fig. 3 and col. 5, lines 45-50).

With respect to the recitation of the “one of the respective discharge port positions” (lines 10-11 of Claim 1 with similar limitations in Claim 6), it is noted that in Figure 4 of Nishiwaki, the discharge port plate is formed through a plurality of respective discharge port positions 40, 41a, 41b, in which plural beams are simultaneously irradiated at these “respective discharge port positions” to form at least one the discharge ports and are incident at the discharge port position from different directions (shown in Figs. 2 or 3 and the discussion at col. 5, lines 15-20).

In Figures 1 and 3 of Nishiwaki, the discharge ports eventually form a shape, which is widened to a dimension or direction away from a source 2 of the beams and these beams approach the discharge port plate 12 to a particular region from different directions.

With regards to Claims 2, 3, 8 and 9, Nishiwaki further teaches that the symmetry of incident beams are clearly symmetrical, have the same angle, and are equally divided with respect to a vertical X-axis (shown in both Figures 2 and 3). Further regarding Claims 3 and 9,

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Nishiwaki additionally teaches a division of beams that is within a “circumference of a circle” as indicated by the circle in Figure 4.

With respect to Claim 7, Nishiwaki further teaches that the discharging port forming step of forming the discharging ports by irradiating high energy beams simultaneously can be performed after the discharge port plate, i.e. nozzle plate, is bonded or fastened to an ink jet main body (see col. 6, lines 64-68).

With respect to Claim 10, Nishiwaki shows (in Fig. 2) that the high ultraviolet beams comprise of at least two beams with each being inclined symmetrically with respect to the vertical X-axis of the mask plate 8 and are incident upon the mask plate in a direction at right angles to an axis along an arrangement direction of the discharge ports. It is noted that the “arrangement direction” can be any direction selected such that it would be at right angles incident from the mask plate.

Regarding Claim(s) 32, as best understood, the final shape of the discharge port can be said to widen in a direction away from the source of the beams to the extent that no beams are being irradiated on the already formed discharge port.

### ***Claim Rejections - 35 USC § 103***

6. Claims 5 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nishiwaki.

Nishiwaki discloses the claimed manufacturing method as relied upon above, further including that the high ultraviolet beams comprise of at least four beams (see Fig. 2). However, to choose any desired specific angle of irradiation of the incident beams in relationship to the

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arrangement direction of the discharge port is an obvious matter of design choice, since the applicants have not disclosed that the claimed *angle of 45 °* solves any stated problem or is for any particular purpose, and it appears that the invention would perform equally well with the various angles of incident beams taught by Nishiwaki'250.

7. Claims 12 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nishiwaki'250 in view of Japanese Patent Publication JP 2-187346, referred to hereinafter as JP'346.

Nishiwaki discloses the claimed manufacturing method as relied upon above. Nishiwaki does not teach that 1) the ink flow paths are rectangular in shape, and 2) that the discharge port plate is formed by a material of resin.

JP'346 shows an ink jet head in which corresponding ink flow paths 14 (in Fig. 9) are rectangular in shape and are connected to a discharge port plate 10. JP'346 teaches that the discharge port plate is made of a resin material, which is ablated by laser beams to form the discharge ports 11, and that the rectangular ink flow paths 14 are formed by the laser beams after the discharge ports are formed (see Purpose). An advantage of the above process and material provides the necessary amount of jet-out speed for the ink drops onto a medium, i.e. paper (again, see Purpose).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to have modified the method of Nishiwaki by forming the ink flow path rectangular in shape and the discharge port plate with a resin material, as taught by JP'346, to positively provide an operational ink jet head with the necessary amount of jet-out speed for the ink drops onto the medium.

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8. Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Nishiwaki in view of Muto 5,548,894, referred to hereinafter as Muto'894.

Nishiwaki discloses the claimed manufacturing method as relied upon above. Nishiwaki does not teach that the discharge port plate is formed of silicon nitride.

Muto'894 teaches that forming discharge port plates (nozzle plate 61) can be accomplished by conventional, art recognized equivalent materials of either resin or silicon nitride (see col. 25, line 55 to col. 26, line 16). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to have formed the discharge port plate of Nishiwaki, alternatively, with such conventional, art recognized equivalent materials with compositions of either resin or silicon nitride, to produce equivalent art recognized discharge port plates.

### ***Response to Arguments***

9. The applicant's arguments filed on 5/10/04 have been fully considered, but have not deemed to found as persuasive.

In regards to the merits of Nishiwaki et al, the examiner has carefully reconsidered his position from the Interview (dated 5/4/04) in that upon further review, the "respective discharge port positions" can be read as the positions occupied by elements 40, 41a and 41b as explained in the rejection set forth above.

***Conclusion***

10. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

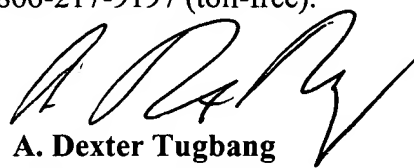
11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to A. Dexter Tugbang whose telephone number is 703-308-7599. The examiner can normally be reached on Monday - Friday 7:00 am - 3:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Peter Vo can be reached on 703-308-1789. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.



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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



**A. Dexter Tugbang**  
**Primary Examiner**  
**Art Unit 3729**

August 6, 2004